**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/628,969
Filing Date	July 28, 2003
First Named Inventor	Stephen M. Allen
Group Art Unit	1638
Examiner Name	David H. Kruse
Attorney Docket Number	BB1107 US CNT

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"><li>• Request To Correct Inventorship</li><li>• Consent of Assignee To Add Named Inventor</li><li>• Statement Under 37 C.F.R. Section 1.48(a)(2)</li><li>• Certificate of Mailing</li><li>• Receipt Card</li></ul> |
|--|--|--|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Potter Anderson & Corroon LLP
Signature	
Printed Name	Jeffrey Safran

Date March 29, 2007

Reg. No. 54,689

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being sent via first class mail to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	Cathleen M. Collins	Date	March 29, 2007
Typed or printed name	Cathleen M. Collins		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (07/06)

Approved for use through 02/28/2007. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2007

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 130.00

## Complete if Known

Application Number	10/628,969
Filing Date	July 28, 2003
First Named Inventor	Stephen M. Allen
Examiner Name	David H. Kruse
Group / Art Unit	1638
Attorney Docket No.	BB1107 US CNT

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

501447

Deposit  
Account  
Name

Potter Anderson & Corroon LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1011	1090	2011	545	Utility filing fee	
1002	550	2002	225	Design filing fee	
1013	750	2013	375	Plant filing fee	
1004	1090	2004	545	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent Claims		-20	=		X 50	
Multiple Dependent		-3	=		X 200	
			=		X 360	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	50	2202	25	Claims in excess of 20	
1201	200	2201	100	Independent claims in excess of 3	
1203	360	2203	180	Multiple dependent claim, if not paid	
1204	200	2204	100	** Reissue independent claims over original patent	
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES 1401

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	2053	65	Non-English specification	
1812	2,520	2812	2,520	Request for ex parte reexamination	
1804	920*	2804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	2805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1464	130	2464	130	Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)	
1807	50	2807	50	Processing fee for provisional applications 37 CFR 1.17(q)	
1806	180	2806	180	Submission of Information Disclosure Stmt	
8021	40	28021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	2802	900	Request for expedited examination of a design application	
Other fee (specify) Petition To Correct Inventorship					\$130

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$) 130.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Jeffrey Safran	Registration No. Attorney/Agent)	54,689	Telephone	302-984-6132
Signature		Date	March 29, 2007		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ALLEN et al.

CASE NO: BB1107 US CNT

SERIAL NO: 10/628,969

GROUP ART UNIT: 1638

FILED: JULY 28, 2003

EXAMINER: D. KRUSE

FOR: PLANT GENES ENCODING DR1 AND  
DRAP1, A GLOBAL REPRESSOR  
COMPLEX OF TRANSCRIPTION

**REQUEST TO CORRECT INVENTORSHIP UNDER  
37 C.F.R. § 1.48(a)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

04/03/2007 WASFAW1 00000015 501447 10628969  
01 FC:1464 130.00 DA

Sir:

In accordance with 37 C.F.R. § 1.48(a), Applicants request that Zhan-Bin Liu of West Chester, PA, be added as an inventor of the above-identified application. Accompanying this request is (1) a statement by Zhan-Bin Liu that the error in inventorship occurred without deceptive intention and (2) written consent from the assignee of the above-identified application, E. I. du Pont de Nemours & Co. As required by section 1.48(a)(4), please charge the processing fee set forth in section 1.17(i) of \$130.00 to Deposit Account No. 501447 (Potter Anderson & Corroon LLP).

Respectfully submitted,

By: Jeffrey B. Safran  
Jeffrey B. Safran  
Attorney for Applicants  
Reg. No.: 54,689  
Telephone: (302) 984-6132  
Facsimile: (302) 658-1192

Dated: March 29, 2007



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ALLEN et al.

CASE NO: BB1107 US CNT

SERIAL NO: 10/628,969

GROUP ART UNIT: 1638

FILED: JULY 28, 2003

EXAMINER: D. KRUSE

FOR: PLANT GENES ENCODING DR1 AND  
DRAP1, A GLOBAL REPRESSOR  
COMPLEX OF TRANSCRIPTION

CONSENT OF ASSIGNEE TO ADD NAMED INVENTOR

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

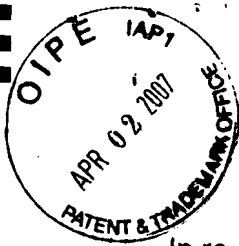
Sir:

E. I. du Pont de Nemours & Co. of Wilmington, Delaware, the assignee of the above-identified patent application, hereby consents to the addition of Zhan-Bin Liu as a named co-inventor of said patent application.

I, Barbara J. Massie, hereby state that I have authority to act on behalf of E. I. du Pont de Nemours & Co.

By: Barbara J. Massie  
Barbara J. Massie

March 26, 2007  
Date

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ALLEN et al.

CASE NO: BB1107 US CNT

SERIAL NO: 10/628,969

GROUP ART UNIT: 1638

FILED: JULY 28, 2003

EXAMINER: D. KRUSE

FOR: PLANT GENES ENCODING DR1 AND  
DRAP1, A GLOBAL REPRESSOR  
COMPLEX OF TRANSCRIPTION**STATEMENT UNDER 37 C.F.R. § 1.48(a)(2)**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

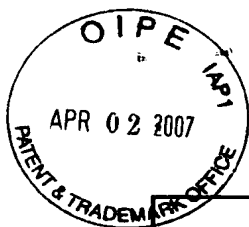
Sir:

In accordance with 37 C.F.R. § 1.48(a)(2), I, Zhan-Bin Liu, hereby state that the inventorship error in the above-identified application whereby I was omitted as an inventor occurred without deceptive intention on my part.

Zhan-Bin Liu, Ph.D.

3/23/2007

Date



## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on March 29, 2007

Date

Signature

Cathleen M. Collins

Type or print name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Application No.: 10/628,969

Filing Date: July 28, 2003

Title: Plant Genes Encoding DR1 And DRAP1, A Global Repressor Complex Of Transcription

First Named Inventor: Stephen M. Allen

Attorney Docket No.: BB1107 US CNT

- Transmittal
- Fee Transmittal
- Request To Correct Inventorship
- Consent Of Assignee To Add Named Inventor
- Statement Under 37 C.F.R. Section 1.48(a)(2)
- Receipt Card

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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